

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Tomoyuki ONISHI, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: PROCESS FOR PRODUCING ALPHA-AMINOKETONES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed on November 8, 2002 in U.S. Application Serial No. 10/290,266 filed November 8, 2002, now allowed, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**


- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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CERTIFICATION

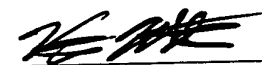
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>244592US0DIV</b>		SERIAL NO. <b>NEW APPLICATION</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Tomoyuki ONISHI, et al.</b>			
				FILING DATE <b>HEREWITH</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,559,256	09/24/96	Gordon, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO		
	AO	97/19681	06/05/97	Wipo			
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Richard W. DRAPER, et al., "NOVEL STEREOSELECTIVE SYNTHESIS OF THE FUSED BENZAZEPINE DOPAMINE D <sub>1</sub> ANTAGONIST (6aS, 13bR)-11-CHLORO-6, 6a, 7, 8, 9, 13b-HEXAHYDRO-7-METHYL-5H-BENZO[d]NAPHTH[2,1-b]IAZEPIN-12-OL (SCH 39166): 2.L-HOMOPHENYLALANINE-BASED SYNTHESSES", ORGANIC PROCESS RESEARCH & DEVELOPMENT, Vol. 2, No. 3, (1998), pp. 186-192					
	AX	Magnus W. WALTER, et al., "REACTION OF (TRIFLUOROMETHYL) TRIMETHYLSILANE WITH OXAZOLIDIN-5-ONES: SYNTHESIS OF PEPTIDIC AND NONPEPTIDIC TRIFLUOROMETHYL KETONES", J. ORGANIC CHEMISTRY, (1998), 63, pp. 5179-5192					
	AY	Magnus W. WALTER, et al., "REACTION OF RUPPERT'S REAGENT (TMS-CF <sub>3</sub> ) WITH OXAZOLIDINONES: SYNTHESIS OF PROTECTED ALPHA-AMINO TRIFLUOROMETHYLKETONES", Tetrahedron Letters, Vol. 36, No. 42, pp. 7761-7764 (1995)					
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							